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jc997 U.S. PTO  
10/084859  
02/27/02



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Melissa W. Dunn  
Serial No. To Be Assigned  
Filed February 27, 2002  
For: SYSTEM AND METHOD FOR CONTROLLING AUTHORIZATION TO ACCESS  
USER-SPECIFIC INFORMATION  
Confirmation No. To Be Assigned  
Attorney Docket No. MS# 180490.1 (MSFT 4969)

February 27, 2002

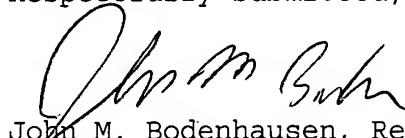
INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,  
WASHINGTON, D.C. 20231

SIR/MADAM:

Applicant submits herewith, in accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, the attached PTO Form 1449 (modified) for consideration by the Patent and Trademark Office in the above-entitled application (which is being filed simultaneously herewith) and to be made of record herein.

Respectfully submitted,



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PTO/SB/08A				<i>Complete if Known</i>	
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				First Named Inventor	Melissa W. Dunn
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
Sheet	1	of	3	Attorney Docket No.	MS180490.1 (MSFT 4969)

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	5,889,952		Heath Hunnicutt, et al.	03-30-1999
	2	5,941,947		Ross M. Brown, et al.	08-24-1999
	3	5,999,711		Pradyumna K. Misra, et al.	12-07-1999
	4	6,260,148	B1	Sudhanshu M. Aggarwal, et al.	07-10-2001
	5	6,308,173	B1	Daniel S. Glasser, et al.	10-23-2001

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			

OTHER ART - NON PATENT LITERATURE DOCUMENTS						
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached, or place an "A" if English language abstract is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	To Be Assigned
(use as many sheets as necessary)				Filing Date	February 27, 2002
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				First Named Inventor	Melissa W. Dunn
				Group Art Unit	To Be Assigned
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Sheet	2	of	3	Attorney Docket No.	MS180490.1 (MSFT 4969)

6	The Role of Graph Model and Conflict of Interest, <i>ACM Transactions on Information and System Security</i> , Vol. 2, No. 1, February 1999, pgs. 3-33 by Matunda Nyanchama and Sylvia Osborn
7	The ARBAC97 Model for Role-Based Administration of Roles, <i>ACM Transactions on Information and System Security</i> , Vol. 2, No. 1, February 1999, pgs. 105-135 by Ravi Sandhu, Venkata Bhamidipati and Qamar Munawer
8	Configuring Role-Based Access Control to Enforce Mandatory and Discretionary Access Control Policies, <i>ACM Transactions on Information and System Security</i> , Vol. 3, No. 2, May 2000, pgs. 85-106 by Sylvia Osborn, Ravi Sandhu and Qamar Munawer
9	Role-Based Authorization Constraints Specification, <i>ACM Transactions on Information and System Security</i> , Vol. 3, No. 4, November 2000, pgs. 207-226 by Gail-Joon Ahn and Ravi Sandhu
10	Why UDDI Will Succeed, Quietly: Two Factors Push Web Services Forward, <i>The Stencil Scope</i> , 7 pgs., April 2001 by Brent Sleeper
11	The YGuard Access Control Model: Set-Based Access Control, <i>SACMAT'01</i> , May 3-4, 2001, pgs. 75-84 by Ty van den Akker, Quinn O. Snell and Mark J. Clement (ACM Press - ISBN 1-58113-350)
12	Fine Grained Access Control for SOAP E-Services, <i>WWW10</i> , May 1-5, 2001, pgs. 504-513 by Ernesto Damiani, Sabrina DeCapitani di Vimercati, Stefano Paraboschi, and Pierangela Samarati (ACM Press - ISBN 1-58113-348)
13	Web Services: High Stakes Amid the Hype, <i>The Washington Post</i> , October 18, 2001, 4 pgs. by Leslie Walker
14	W3C Translations - XML in 10 Points, available at <a href="http://www.w3.org/XML/1999/XML-in-10-points">www.w3.org/XML/1999/XML-in-10-points</a> , Copyright 1999-2000, 4 pgs., by Bert Bos
15	W3C Initiative - P3P and Privacy on the Web FAQ, available at <a href="http://www.w3.org/P3P/p3pfaq.html">www.w3.org/P3P/p3pfaq.html</a> , last revised June 22, 2001, 11 pgs
16	W3C Initiative - P3P 1.0: A New Standard in Online Privacy, available at <a href="http://www.w3.org/P3P/brochure.html">www.w3.org/P3P/brochure.html</a> , Copyright 1997-2000, 6 pgs

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	17	W3C - A P3P Preference Exchange Language 1.0 (APPEL 1.0) - W3C Working Draft 26 February 2001, available at <a href="http://www.w3.org/TR/P3P-preferences.html">www.w3.org/TR/P3P-preferences.html</a> , 50 pgs, by Lorrie Cranor, Marc Langheinrich, and Massimo Marchiori	
	18	Web Services Routing Protocol (WS-Routing), dated October 23, 2001, available at <a href="http://msdn.microsoft.com/library/en-us/dnqlobspec/html/ws-routing.asp">http://msdn.microsoft.com/library/en-us/dnqlobspec/html/ws-routing.asp</a> , by Henrik F. Nielsen and Satish Thatte	

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